

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: UT-Battelle, LLC

Application No./Patent No.: 10/689,316 Filed/Issue Date: 10/20/2003

Entitled: Enhanced Monitor System for Water Protection

UT-Battelle, LLC

(Name of Assignee)

, a Corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 019684, Frame 0315, or for which a copy therefore is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Judson R. Hightower/

Signature

11/25/2008

Date

Judson R. Hightower

Printed or Typed Name

865.576.3767

Telephone Number

IP Managing Attorney

Title

TO: JOSEPH A. MARASCO COMPANY ONE BETHEL VALLEY ROAD

**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 13, 2007

PTAS



500333109A

JOSEPH A. MARASCO
ONE BETHEL VALLEY ROAD
4500N, MS-6258
OAK RIDGE, TN 37831-6258

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 08/13/2007

REEL/FRAME: 019684/0315
NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 1266.0

ASSIGNOR:

HILL, DAVID E

DOC DATE: 08/13/2007

ASSIGNOR:

RODRIGUEZ, MIGUEL, JR

DOC DATE: 08/10/2007

ASSIGNOR:

GREENBAUM, ELIAS

DOC DATE: 07/10/2007

ASSIGNEE:

UT-BATTELLE, LLC
ONE BETHEL VALLEY ROAD
4500N, MS-6258
OAK RIDGE, TENNESSEE 37831-6258

TO: JOSEPH A. MARASCO COMPANY : ONE BETHEL VALLEY ROAD

019684/0315 PAGE 2

SERIAL NUMBER: 10689316

FILING DATE: 10/20/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: ENHANCED MONITOR SYSTEM FOR WATER PROTECTION

ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

TO: JOSEPH A. MARASCO COMPA ONE BETHEL VALLEY ROAD

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.108/13/2007
500333109

SUBMISSION TYPE:	NEW ASSIGNMENT												
NATURE OF CONVEYANCE:	ASSIGNMENT												
EFFECTIVE DATE:	08/13/2007												
CONVEYING PARTY DATA													
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>David E Hill</td><td>08/13/2007</td></tr><tr><td>Miguel Rodriguez Jr</td><td>08/10/2007</td></tr><tr><td>Elias Greenbaum</td><td>07/10/2007</td></tr></tbody></table>		Name	Execution Date	David E Hill	08/13/2007	Miguel Rodriguez Jr	08/10/2007	Elias Greenbaum	07/10/2007				
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David E Hill	08/13/2007												
Miguel Rodriguez Jr	08/10/2007												
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RECEIVING PARTY DATA													
<table border="1"><tr><td>Name:</td><td>UT-Battelle, LLC</td></tr><tr><td>Street Address:</td><td>One Bethel Valley Road</td></tr><tr><td>Internal Address:</td><td>4500N, MS-6258</td></tr><tr><td>City:</td><td>Oak Ridge</td></tr><tr><td>State/Country:</td><td>TENNESSEE</td></tr><tr><td>Postal Code:</td><td>37831-6258</td></tr></table>		Name:	UT-Battelle, LLC	Street Address:	One Bethel Valley Road	Internal Address:	4500N, MS-6258	City:	Oak Ridge	State/Country:	TENNESSEE	Postal Code:	37831-6258
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PROPERTY NUMBERS Total: 1													
<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>10689316</td></tr></tbody></table>		Property Type	Number	Application Number:	10689316								
Property Type	Number												
Application Number:	10689316												
CORRESPONDENCE DATA													
Fax Number: (865)574-0381 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>													
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Address Line 4: Oak Ridge, TENNESSEE 37831-6258													
ATTORNEY DOCKET NUMBER:	1266.0												
NAME OF SUBMITTER:	Karen E. Bowman												

CH \$40.00 10689316

TO: JOSEPH A. MARASCO COMPA : ONE BETHEL VALLEY ROAD

Total Attachments: 5

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ASSIGNMENT

WHEREAS, we the below-named inventors whose residences and post office are as stated below, have invented certain new and useful improvements in

ENHANCED MONITOR SYSTEM FOR WATER PROTECTION

(hereinafter referred to as "Subject Invention") for which an application for United States Letters Patent was executed by us on even date herewith, and

WHEREAS, UT-BATTELLE, LLC, a limited liability company of the State of Tennessee, having an office at One Bethel Valley Road, P. O. Box 2008, Oak Ridge, Tennessee 37831-6258, hereinafter referred to as "ASSIGNEE," is desirous of acquiring the entire right, title and interest of the undersigned in the Subject Invention and to any Letters Patent that may be granted therefor in the United States of America and in any and all foreign countries.

NOW, THEREFORE, in accordance with the terms of our employment agreement with ASSIGNEE and for good and valuable consideration, the receipt of which is hereby acknowledged, we hereby assign to ASSIGNEE our entire right, title and interest in the Subject Invention to all rights of priority thereto pursuant to the International Convention for the Protection of Industrial Property, and in any Letters Patent on the Subject Invention that may be granted in the United States of America and in any and all foreign countries, including any and all Letters Patent that are divisions, reissues, continuations, substitutions or extensions of any Letters Patent or applications therefor on the Subject Invention.

We hereby authorize and request the Commissioner of the United States Patent and Trademark Office and the officials of any and all foreign countries to issue any and all of said Letters Patent, when granted, to the ASSIGNEE.

Further, we hereby agree to communicate to said ASSIGNEE or its representatives any facts known to us respecting said Subject Invention, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, substitution, renewal and reissue applications, to execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE, and to make all rightful oaths and generally do everything possible to aid said ASSIGNEE and its representatives to obtain and enforce proper protection for said Subject Invention in the United States of America and in any and all foreign countries.

We further agree that the terms, covenants and conditions of this assignment shall inure to the benefit of ASSIGNEE, its successors, assigns, and legal representatives, and shall be binding on us, our heirs, legal representatives, and assigns.

Full name of inventor: David E. Hill

Signature: [Signature] Date: 8/13/07

Residence: 8224 Broken Arrow, Knoxville, Tennessee 37923

Post Office Address: 8224 Broken Arrow, Knoxville, Tennessee 37923

State of Tennessee)

County of Reese)

On this 13th day of August, 2007, before me, a Notary Public in and for the County and State aforesaid, appeared **DAVID E. HILL** to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.



SEAL

[Signature]
Notary Public

My Commission Expires: My Commission Expires June 5, 2010

Full name of inventor: Miguel Rodriguez, Jr.

Signature: Miguel Rodriguez, Jr. Date: 8-10-2007

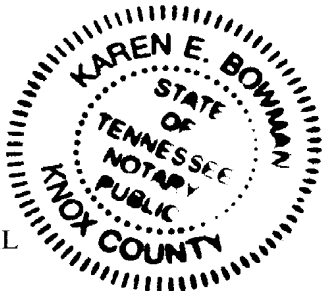
Residence: 200 Elmhurst Drive, Apt. I-37, Oak Ridge, Tennessee 37830

Post Office Address: 200 Elmhurst Drive, Apt. I-37, Oak Ridge, Tennessee 37830

State of Tennessee)
County of Roane)

On this 10th day of August, 2007, before me, a Notary Public in and for the County and State aforesaid, appeared **MIGUEL RODRIGUEZ, JR.** to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.



Karen E. Bowman
Notary Public

My Commission Expires: My Commission Expires June 5, 2010

Full name of inventor: Elias Greenbaum

Signature: *Elias Greenbaum*

Date: July 10, 2007

Residence: 11403 Morgan Overlook Drive, Knoxville, Tennessee 37931

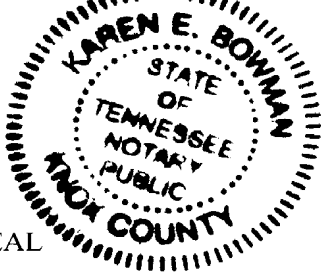
Post Office Address: 11403 Morgan Overlook Drive, Knoxville, Tennessee 37931

State of Tennessee)

County of Roane)

On this 10th day of July, 2007, before me, a Notary Public in and for the County and State aforesaid, appeared **ELIAS GREENBAUM** to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.



SEAL

Karen E. Bowman
Notary Public

My Commission Expires: June 5, 2010